



1761

Atty. Docket No. ADV12 P301A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group : 1761
Applicant : John F. Stevens et al.
Appln. No. : 09/960,191
Cnfrm. No. : 4580
Filing Date : September 21, 2001
For : RETICULATION-FREE WATER-DISPERSIBLE COATING
COMPOSITION FOR FOOD SUBSTRATES

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING

I hereby certify that the attached Information Disclosure Statement (2 pages), Form PTO-1449 (2 pages), References Disclosed Therein, and Return Postcard are being deposited with the United States Postal Service as (first class mail) in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

On 12/21/2001.

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Atty. Docket No. ADV12 P301A

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 1761
Applicant : John F. Stevens et al.
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

Copies of the listed documents are submitted herewith along with Form PTO-1449. Applicants respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant(s) reserve(s) the right to present to the Office the relevant facts and law regarding the appropriate status of such document(s).

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Applicant : John F. Stevens et al.
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Page -2-

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

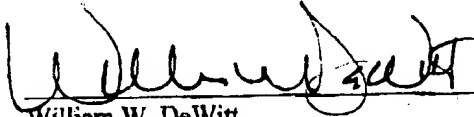
Respectfully submitted,

JOHN F. STEVENS ET AL.

By: Price, Heneveld, Cooper,
DeWitt & Litton

Date

12/20/01


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FORM PTO-1449
(Rev. 2-32)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
ADV12 P301A

SERIAL NO.
09/960,191

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use several sheets if necessary)

APPLICANT
John F. Stevens et al.

FILING DATE
09/21/2000

GROUP
1761

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5	9	9	7	9	1	8	12/07/1999	Melvej			
		5	9	8	8	0	4	8	11/23/1999	Hunter et al.			
		5	9	7	6	6	0	7	11/02/1999	Higgins et al.			
		5	9	2	8	6	9	3	07/27/1999	Friedman et al.			
		5	9	2	2	3	9	2	07/13/1999	Kelly et al.			
		5	8	9	7	8	9	8	04/27/1999	Rogols et al.			
		5	8	8	5	6	3	9	03/23/1999	Judkins et al.			
		5	8	4	9	3	5	1	12/15/1998	Higgins et al.			
		5	7	5	3	2	8	6	05/19/1998	Higgins			
		5	7	5	0	1	6	8	05/12/1998	Woerman et al.			
		5	6	4	8	1	1	0	07/15/1997	Wu et al.			

FOREIGN PATENT DOCUMENTS

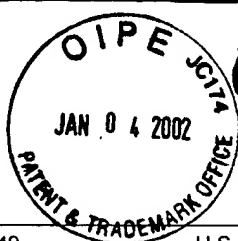
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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

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		TC 1700	
EXAMINER		DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])



Sheet 2 of 2

FORM PTO-1449
(Rev. 2-32)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
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STATEMENT BY APPLICANT
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John F. Stevens et al.FILING DATE
09/21/2001GROUP
1761

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 6 2 2 7 4 1	04/22/1997	Stubbs et al.			
	5 3 9 3 5 5 2	02/28/1995	Busacker et al.			
	5 3 0 2 4 1 0	04/12/1994	Calder et al.			
	5 1 4 1 7 5 9	08/25/1992	Sloan et al.			
	5 0 5 9 4 3 5	10/22/1991	Sloan et al.			
	5 0 0 4 6 1 6	04/02/1991	Shanbhag et al.			
	4 8 2 8 8 4 7	05/09/1989	Thompson			
	4 5 5 1 3 4 0	11/05/1985	El-Hag et al.			
	4 5 0 4 5 0 9	03/12/1985	Bell et al.			
	3 7 5 1 2 6 8	08/07/1973	Van Patten et al.			
	3 5 9 7 2 2 7	08/03/1971	Murray et al.			
	3 4 2 4 5 9 1	01/28/1969	Gold			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

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